CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).[1]

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, HSBC Holdings Plc, including its subsidiaries and branches listed in the attachment hereto (each a "Foreign Bank" and collectively "Foreign Banks"), hereby certifies as follows:

B. Correspondent Accounts Covered by this Certification: Check **one** box.

☒ This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.

☐ This Certification applies to Correspondent Accounts established by

_____

(name of Covered Financial Institution(s)) for Foreign Bank.

[1] A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

C. Physical Presence/Regulated Affiliate Status: Check **one** box and complete the blanks.

☒ Each Foreign Bank listed in Attachment 1 hereto maintains a physical presence in the country or countries listed in Attachment 1. This means that each such Foreign Bank:

- has a place of a business at the street address or addresses set out in Attachment 1, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- is authorised to conduct banking activities in the country or countries indicated in Attachment 1; and
- is subject to inspection by the banking authority or authorities indicated in Attachment 1 that licensed the Foreign Bank to conduct banking activities.

☐ Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate.** That means:

- Foreign Bank is an affiliate of a depository institution, credit union or a foreign bank that maintains a physical presence at the following street address:
  _____
  where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities;
- the above address is in _____ (insert country), where the depository institution, credit union or foreign bank is authorised to conduct banking activities;
- Foreign Bank is subject to supervision by
  _____
  (insert banking authority), the same banking authority that regulates the depository institution, credit union or foreign bank.

☐ Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify:

☒ No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not regulated affiliate.

E. Ownership Information Check box 1 or 2 below**, if applicable.**

☐ 1.**Form FR Y-7 is on file**. Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership on Item 4 of Form FR Y-7.

☒ 2.Foreign Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C.78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, **if applicable:**

☐ 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family[2] shall be considered one **person**.

Name	Address

F. Process Agent: complete the following:

The following individual or entity: HSBC Bank USA, N,A, is a resident of the United States at the following street address: Legal Paper Processing, 239 Van Rensselaer Street, Buffalo, NY 14210, **and** is authorised to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

[2] The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Jennifer Shasky Calvery (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct and that I am authorised to execute this Certification on behalf of Foreign Bank.

HSBC Holdings Plc
[Name of Foreign Bank]



[Signature]

Jennifer Shasky Calvery
[Printed Name]

Group Head of Financial Crime, and, GMLRO
[Title]

Executed on this May, day of Monday 11th, 2026

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Received and reviewed by:
Name: _____
Title: _____
For: _____
[Name of Covered Institution]

Date: _____

[67 FR 60573, Sept. 26, 2002]

Paperwork Reduction Act Notice

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Appendix 1

Affiliate Name	Country	Address	Regulator
HSBC Algeria	Algeria	Complexe immobilier Oriental Business Park, Bab EZZOUAR, ALGIERS 16311	Bank of Algeria
HSBC Bank Australia Limited	Australia	LEVEL 36, TOWER 1 INTERNATIONAL TOWERS SYDNEY 100 BARANGAROO AVENUE SYDNEY NSW 2000	Australian Prudential Regulation Authority (APRA); Australian Securities and Investments Commission
The Hongkong and Shanghai Banking Corporation Limited	Australia	LEVEL 36, TOWER 1, INTERNATIONAL TOWERS SYDNEY, 100 BARANGAROO AVENUE, SYDNEY, NSW 2000	Australian Prudential Regulation Authority (APRA); Australian Securities and Investments Commission
HSBC Bank Middle East Limited, Manama Branch	Bahrain	BUILDING 2505, ROAD 2832, BLOCK 428 AL SEEF, SANABIS, P.O.BOX 57 MANAMA	Central Bank of Bahrain
The Hongkong and Shanghai Banking Corporation Limited, Dhaka Branch	Bangladesh	BAY PARK HEIGHTS PLOT-02 (NEW), 522 (OLD), ROAD 09 (NEW), DHANMONDI DHAKA 1205	Central Bank of Bangladesh
HSBC Continental Europe, Brussels Branch	Belgium	SQUARE DE MEEUS 23 BRUSSELS 1000	National Bank of Belgium
HSBC Bank Bermuda Limited	Bermuda	37 FRONT STREET HAMILTON HM 11	Bermuda Monetary Authority
Banco HSBC S.A.	Brazil	1909 Avenida Presidente Juscelino Kubitschek, Torre Norte, Sao Paulo Corporate Towers, Sao Paulo Brazil 04551903	Banco Central Do Brasil
HSBC Bank (Chile)	Chile	AVENIDA ISIDORA GOYENECHEA 2800 LAS CONDES, FLOOR 23 SANTIAGO 2800	Comision para el Mercado Financiero - Commission for the Financial Market
HSBC Bank (China) Company Limited	China	8 Century Avenue, Pudong, Shanghai, China 200120	National Financial Regulatory Administration People's Bank of China (PBC)

Affiliate Name	Country	Address	Regulator
Hang Seng Bank (China) Limited	China	34/F, 36/F, 46/F, Hang Seng Bank Tower, 1000 Lujiazui Ring Road, Pudong SHANGHAI 200120 China	National Financial Regulatory Administration People's Bank of China (PBC)
HSBC Continental Europe, Prague Branch	Czech Republic	NA FLORENCI 2116/15 NOVE MESTO PRAGUE 110 00	Czech National Bank
HSBC Bank Egypt S.A.E.	Egypt	306 CORNICHE EL NIL, MAADI 11728 CAIRO EGYPT	Central Bank of Egypt
HSBC Bank Plc, Paris Branch	France	38 AVENUE KLEBER, 75116 Paris	French Prudential Supervision and Resolution Authority (Autorite de controle prudentiel et de resolution - ACPR)
HSBC Continental Europe	France	38 AVENUE KLEBER PARIS 75116	French Prudential Supervision and Resolution Authority (Autorite de controle prudentiel et de resolution - ACPR)
HSBC Private Bank (Luxembourg) S.A. France Branch	France	38 Avenue Kleber Paris France 75116	French Prudential Supervision and Resolution Authority (Autorite de controle prudentiel et de resolution - ACPR)
HSBC Continental Europe S.A., Germany	Germany	Hansaallee 3, DUESSELDORF 40549	Bundesanstalt fiir Finanzdienstleistungsaufsicht - Federal Financial Supervisory Authority
HSBC Bank Plc, St Peter Port Branch	Guernsey	Arnold House St Julian's Avenue St Peter Port Guernsey GY1 3NF	Guernsey Financial Services Commission
HSBC Private Bank (Suisse) Guernsey Branch	Guernsey	Arnold House, St Julian's Avenue, St Peter Port Guernsey, GY1 3NF	Guernsey Financial Services Commission
HSBC Bank Plc, Hong Kong Branch	Hong Kong S.A.R.	HSBC MAIN BUILDING 1 QUEEN'S ROAD CENTRAL HONG KONG	Hong Kong Monetary Authority
Hang Seng Bank Limited	Hong Kong S.A.R.	83 DES VOEUX ROAD CENTRAL HONG KONG	Hong Kong Monetary Authority; Securities & Futures Commission of Hong-Kong

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HSBC Bank USA, National Association, Hong Kong Branch	Hong Kong S.A.R.	HSBC MAIN BUILDING 1 QUEEN'S ROAD CENTRAL HONG KONG S.A.R.	Hong Kong Monetary Authority;
The Hongkong and Shanghai Banking Corporation Limited	Hong Kong S.A.R.	HSBC MAIN BUILDING, 1 QUEEN'S ROAD CENTRAL HONG KONG	Hong Kong Monetary Authority; Securities & Futures Commission of Hong-Kong
The Hongkong and Shanghai Banking Corporation Limited, Mumbai Branch	India	52/60 MAHATMA GANDHI ROAD, MUMBAI 400001	Reserve Bank of India; Securities and Exchange Board of India
The Hongkong and Shanghai Banking Corporation Limited, GIFT City Branch	India	Unit No 202, 2nd Floor, Brigade International Finance Centre (BIFC), Block 14 A, Zone 1, GIFT SEZ, Gandhinagar, Gujarat – 382355, India	International Financial Services Centre Authority (IFSCA)
PT Bank HSBC Indonesia	Indonesia	World Trade Center 3 Building, 9th Floor, Jalan Jenderal Sudirman Kaveling 29 - 31, Karet, Setiabudi, Jakarta Selatan, DKI Jakarta Province, Postal code: 12920	Financial Services Authority of Indonesia (Otoritas Jasa Keuangan - OJK)
HSBC Continental Europe, Dublin Branch	Ireland	1 GRAND CANAL SQUARE GRAND CANAL HARBOUR DUBLIN 2	Central Bank of Ireland
HSBC Bank Plc, Douglas Branch	Isle of Man	PO Box 421, Suite2.4 Clinch's Lord Street Isle of Man IM1 4LN	Financial Supervision Commission
HSBC Bank Plc, Tel Aviv Branch	Israel	Amot Atrium Building -30th Floor 2 Jabotinsky Street, Ramat Gan 5250501	Bank of Israel
HSBC Private Bank (Suisse), Tel Aviv Rep Office	Israel	2 Jabotinsky Street, Ramat Gan 5250501	Bank of Israel
HSBC Continental Europe, Milan Branch	Italy	3 Via San Protaso, Milano, Italy 20121	Banca D'Italia - Bank of Italy
The Hongkong and Shanghai Banking Corporation Limited, Tokyo Branch	Japan	HSBC BUILDING, 11-1 NIHONBASHI 3-CHOME, CHUO-KU, TOKYO 103-0027	Financial Services Agency
HSBC Bank Plc, St Helier Branch	Jersey	HSBC House, Esplanade, ST. HELIER JE1 1HS Jersey	Jersey Financial Services Commission

Affiliate Name	Country	Address	Regulator
HSBC Bank Middle East Limited, Kuwait Branch	Kuwait	AL-HAMRA TOWER, FLOOR 37, ABDULAZIZ AL SAGER STREET, BLOCK 8, BUILDING 14, SHARQ AREA KUWAIT	Central Bank of Kuwait; Capital Markets Authority
HSBC Private Bank (Luxembourg) SA	Luxembourg	Boulevard de Kockelscheuer 18, 1821 Luxembourg	Commission de Surveillance du Secteur Financier
HSBC Bank Plc, Luxembourg Branch	Luxembourg	Boulevard de Kockelscheuer 18, 1821 Luxembourg	Commission de Surveillance du Secteur Financier
HSBC Continental Europe, Luxembourg Branch	Luxembourg	Boulevard de Kockelscheuer 18, 1821 Luxembourg	Commission de Surveillance du Secteur Financier
Hang Seng Bank Limited, Macau Branch	Macau	Unit A-F, Floor 3, Manulife Plaza, No. 61 Avenida de Almeida Ribeiro, Macau, Macao	Monetary Authority of Macau
The Hongkong and Shanghai Banking Corporation Limited, Macau Branch	Macau	613 - 639 AVENIDA DA PRAIA GRANDE, MACAU	Monetary Authority of Macau
HSBC Bank Malaysia Berhad	Malaysia	LEVEL 2, MENARA IQ, LINGKARAN TRX, TUN RAZAK EXCHANGE, KUALA LUMPUR, WILAYAH PERSEKUTUAN, 55188	Bank Negara Malaysia - Central Bank of Malaysia
HSBC Amanah Malaysia	Malaysia	LEVEL 30, MENARA IQ, LINGKARAN TRX, TUN RAZAK EXCHANGE, KUALA LUMPUR, WILAYAH PERSEKUTUAN, 55188	Bank Negara Malaysia - Central Bank of Malaysia
The Hongkong and Shanghai Banking Corporation Limited, Labuan Branch	Malaysia	B1 FLOOR 11 MAIN OFFICE TOWER, FINANCIAL PARK LABUAN, JALAN MERDEKA LABUAN 87000	Labuan Financial Services Authority
HSBC Bank Malta Plc	Malta	116 ARCHBISHOP STREET, VALLETTA VLT 1444	Malta Financial Services Authority
HSBC Bank (Mauritius) Limited	Mauritius	5th Floor (West Wing), Icon Ebene 1, Rue de L'Institut, Ebene, Mauritius.	Central Bank of Mauritius

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The Hongkong and Shanghai Banking Corporation Limited, Mauritius Branch	Mauritius	5th Floor, West Wing Icon Ebene 1, Lot 441 Rue de L'Institut, Ebene	Central Bank of Mauritius
HSBC Mexico, S.A., Institucion de Banca Multiple, Grupo Financiero HSBC	Mexico	PASEO DE LA REFORMA 347, CUAUHTEMOC, MEXICO 06500	Comision Nacional Bancaria y De Valores - National Banking and Securities Commission
HSBC Continental Europe, Amsterdam Branch	Netherlands	De Entree 247-249, Amsterdam, Netherlands, 1101 HG	De Nederlandsche Bank; Autoriteit Financiële Markten - Netherlands Authority for the Financial Markets
The Hongkong and Shanghai Banking Corporation Limited, Auckland Branch	New Zealand	HSBC Tower, Level 22, 188 Quay Street, Auckland 1010, New Zealand	Reserve Bank of New Zealand
HSBC Bank Middle East Limited, Oman Branch	Oman	Office #106, 1st Floor, HSBC Salam Square, Dawat Al Adab Street, Muscat, Oman	Central Bank of Oman
The Hongkong and Shanghai Banking Corporation Limited, Taguig Branch	Philippines	The 5th Corporate Center, 3058 Fifth Avenue West Bonifacio Global City, Taguig City, Philippines	Bangko Sentral NG Pilipinas; Securities and Exchange Commission
HSBC Continental Europe, Warsaw Branch	Poland	RONDO ONZ 1 WARSZAWA 00-124	Komisja Nadzoru Finansowego - Polish Financial Supervision Authority
HSBC Bank Middle East Limited, Doha Branch	Qatar	Msheireb Downtown Doha Zone 3, Building No.2, Street 981 P O Box 57 Doha, Qatar.	Qatar Central Bank; Qatar Financial Markets Authority
HSBC Bank (Singapore) Limited	Singapore	10 Marina Boulevard, #48-01 Marina Bay Financial Centre, Singapore 018983,	Monetary Authority of Singapore
The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch	Singapore	10 MARINA BOULEVARD, #48-01 MARINA BAY FINANCIAL CENTRE,SINGAPORE 018983	Monetary Authority of Singapore
The Hongkong and Shanghai Banking Corporation Limited, Seoul Branch	South Korea	HSBC Building 37, Chilpae-Ro, Jung-Gu, Seoul 04511	Financial Supervisory Service
HSBC Continental Europe, Madrid Branch	Spain	TORRE PICASSO PZ PABLO RUIZ PICASSO 1, PL.32, MADRID 28020	Banco de Espana - Bank of Spain; Comision Nacional del Mercado de Valores - National Securities Market Commission

Affiliate Name	Country	Address	Regulator
The Hongkong and Shanghai Banking Corporation Limited, Colombo Branch	Sri Lanka	24 SIR BARON JAYATILAKA MAWATHA, COLOMBO 1	Central Bank of Sri Lanka
HSBC Continental Europe, Stockholm Branch	Sweden	Birger Jarlsgatan 4, Stockholm 114 34	Finansinspektionen
HSBC Bank Plc, Zurich Branch	Switzerland	Gartenstrasse 26 8002 Zurich	Swiss Financial Market Supervisory Authority FINMA
HSBC Private Bank (Suisse)	Switzerland	QUAI DES BERGUES 9-17, GENEVA 1201	Swiss Financial Market Supervisory Authority FINMA
HSBC Private Bank (Suisse), Zurich Branch	Switzerland	26 Gartenstrasse, Zurich Switzerland 8002	Swiss Financial Market Supervisory Authority FINMA
HSBC Bank (Taiwan) Limited	Taiwan	54F No.7 Sec. 5, Xinyi Road, Xinyi district, Taipei , Taiwan, 110	Financial Supervisory Commission
Hang Seng Bank Limited, Taiwan	Taiwan	Room H, 6F Hung Tai Center. 168 Tun Hua North Road, Taipei	Financial Supervisory Commission
The Hongkong and Shanghai Banking Corporation Limited, Taipei Branch	Taiwan	54F No.7 Sec. 5, Xinyi Road, Xinyi district, Taipei , Taiwan, 110	Financial Supervisory Commission; Central Bank of the Republic of China (Taiwan)
The Hongkong and Shanghai Banking Corporation Limited, Bangkok Branch	Thailand	The Hongkong and Shanghai Banking Corporation Limited (Head Office) 195 One Bangkok, Tower 4, Floors 40–42 Witthayu Road, Lumpini, Pathum Wan, Bangkok 10330, Thailand	Bank of Thailand; Securities and Exchange Commission
HSBC Bank Anonim Sirketi	Turkey	Esentepe Mah. Buyukdere Cad. No:128, Sisli, ISTANBUL 34394	Bankacilik Diizenleme ve Denetleme Kurumu - Banking Regulation and Supervision Agency
HSBC Private Bank (Suisse), DIFC Branch	UAE	Unit Precinct 2-4th Floor - Unit 401, Level4, Gate District Precinct Building 02 PO Box 506553 Dubai International Financial Centre Dubai United Arab Emirates	Dubai Financial Services Authority

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HSBC Bank Middle East Limited	UAE	Level 4, Gate Precinct Building 2, Dubai International Financial Centre P.O. Box 30444, Dubai, United Arab Emirates	Dubai Financial Services Authority
HSBC Bank Middle East Limited, UAE Branch	UAE	HSBC Tower, Downtown Dubai, P.O. Box 66, DUBAI	Central Bank of United Arab Emirates; Securities and Commodities Authority
HSBC Innovation Bank Limited	United Kingdom	Alphabeta, 14-18 Finsbury Square, London, EC2A 1BR	Financial Conduct Authority; Prudential Regulation Authority
HSBC UK Bank Plc	United Kingdom	1 CENTENARY SQUARE, BIRMINGHAM B1 1HQ	Financial Conduct Authority; Prudential Regulation Authority
HSBC Bank Plc	United Kingdom	8 CANADA SQUARE, LONDON E145HQ	Financial Conduct Authority; Prudential Regulation Authority
HSBC Bank (Vietnam) Ltd.	Vietnam	THE METROPOLITAN 235 DONG KHOI STREET SAIGON WARD HO CHI MINH CITY Vietnam	State Bank of Vietnam