

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

***The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).***

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).<sup>1</sup>

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instructions for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instructions for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

**A.** The undersigned financial institution, HSBC Holdings Plc, including its subsidiaries and branches listed in the attachment hereto (each a “Foreign Bank” and collectively “Foreign Banks”), hereby certifies as follows:

**B. Correspondent Accounts Covered by this Certification:** Check **one** box.

☒ This Certification applies to **all** accounts established for Foreign Bank by Covered Financial Institutions.

☐ This Certification applies to Correspondent Accounts established by

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(name of Covered Financial Institution(s)) for Foreign Bank.

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<sup>1</sup> A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**C. Physical Presence/Regulated Affiliate Status:** Check **one** box and complete the blanks.

☒ Each Foreign Bank listed in Attachment 1 hereto maintains a physical presence in the country or countries listed in Attachment 1. This means that each such Foreign Bank:

- has a place of a business at the street address or addresses set out in Attachment 1, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- is authorised to conduct banking activities in the country or countries indicated in Attachment 1; and
- is subject to inspection by the banking authority or authorities indicated in Attachment 1 that licensed the Foreign Bank to conduct banking activities.

☐ Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:

- Foreign Bank is an affiliate of a depository institution, credit union or a foreign bank that maintains a physical presence at the following street address:

\_\_\_\_\_ where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities;

- the above address is in \_\_\_\_\_ (insert country), where the depository institution, credit union or foreign bank is authorised to conduct banking activities;
- Foreign Bank is subject to supervision by \_\_\_\_\_

(insert banking authority), the same banking authority that regulates the depository institution, credit union or foreign bank.

☐ Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

**D. Indirect Use of Correspondent Accounts:** Check box to certify:

☒ No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not regulated affiliate.

**E. Ownership Information** Check box 1 or 2 below, if applicable.

☐ 1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership on Item 4 of Form FR Y-7.

☒ 2. Foreign Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C.78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, if applicable:

☐ 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family<sup>2</sup> shall be considered one **person**.

| Name | Address |
|------|---------|
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**F. Process Agent:** complete the following:

The following individual or entity: HSBC Bank USA, N.A, is a resident of the United States at the following street address: Legal Paper Processing, 239 Van Rensselaer Street, Buffalo, NY 14210, **and** is authorised to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

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<sup>2</sup> The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

## G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Jennifer Shasky Calvery (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct and that I am authorised to execute this Certification on behalf of Foreign Bank.

HSBC Holdings Plc  
[Name of Foreign Bank]



[Signature]

Jennifer Shasky Calvery  
[Printed Name]

Group Head of Financial Crime, and, GMLRO  
[Title]

Executed on this May, day of Monday 11<sup>th</sup>, 2026

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**Received and reviewed by:**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

For: \_\_\_\_\_

[Name of Covered Institution]

Date: \_\_\_\_\_

[67 FR 60573, Sept. 26, 2002]

**Paperwork Reduction Act Notice**

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this burden, by calling the FinCEN email at [frc@fincen.gov](mailto:frc@fincen.gov). Alternatively, you may mail us comments at Policy Division, P.O. Box 39, Vienna, VA 22183. Please include 1506–0043 in the body of the text.

## Appendix 1

| Affiliate Name                                                         | Country    | Address                                                                                                                   | Regulator                                                                                                    |
|------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| HSBC Algeria                                                           | Algeria    | Complexe immobilier Oriental Business Park,<br>Bab EZZOUAR,<br>ALGIERS 16311                                              | Bank of Algeria                                                                                              |
| HSBC Bank Australia Limited                                            | Australia  | LEVEL 36, TOWER 1 INTERNATIONAL<br>TOWERS<br>SYDNEY 100 BARANGAROO AVENUE<br>SYDNEY NSW 2000                              | Australian Prudential Regulation Authority<br>(APRA);<br>Australian Securities and Investments<br>Commission |
| The Hongkong and Shanghai Banking<br>Corporation Limited               | Australia  | LEVEL 36, TOWER 1, INTERNATIONAL<br>TOWERS SYDNEY, 100 BARANGAROO<br>AVENUE,<br>SYDNEY, NSW 2000                          | Australian Prudential Regulation Authority<br>(APRA);<br>Australian Securities and Investments<br>Commission |
| HSBC Bank Middle East Limited,<br>Manama Branch                        | Bahrain    | BUILDING 2505, ROAD 2832, BLOCK 428 AL<br>SEEF, SANABIS, P.O.BOX 57<br>MANAMA                                             | Central Bank of Bahrain                                                                                      |
| The Hongkong and Shanghai Banking<br>Corporation Limited, Dhaka Branch | Bangladesh | BAY PARK HEIGHTS PLOT-02 (NEW), 522<br>(OLD), ROAD 09 (NEW), DHANMONDI<br>DHAKA 1205                                      | Central Bank of Bangladesh                                                                                   |
| HSBC Continental Europe, Brussels<br>Branch                            | Belgium    | SQUARE DE MEEUS 23<br>BRUSSELS 1000                                                                                       | National Bank of Belgium                                                                                     |
| HSBC Bank Bermuda Limited                                              | Bermuda    | 37 FRONT STREET<br>HAMILTON HM 11                                                                                         | Bermuda Monetary Authority                                                                                   |
| Banco HSBC S.A.                                                        | Brazil     | 1909 Avenida Presidente Juscelino<br>Kubitschek, Torre Norte, Sao Paulo<br>Corporate<br>Towers, Sao Paulo Brazil 04551903 | Banco Central Do Brasil                                                                                      |
| HSBC Bank (Chile)                                                      | Chile      | AVENIDA ISIDORA GOYENECHEA 2800 LAS<br>CONDES, FLOOR 23<br>SANTIAGO 2800                                                  | Comision para el Mercado Financiero -<br>Commission for the Financial Market                                 |
| HSBC Bank (China) Company Limited                                      | China      | 8 Century Avenue, Pudong, Shanghai, China<br>200120                                                                       | China Banking and Insurance Regulatory<br>Commission;<br>People's Bank of China (PBC)                        |

| Affiliate Name                                    | Country          | Address                                                                                       | Regulator                                                                                                        |
|---------------------------------------------------|------------------|-----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|
| Hang Seng Bank (China) Limited                    | China            | 34/F, 36/F, 46/F, Hang Seng Bank Tower, 1000 Lujiazui Ring Road, Pudong SHANGHAI 200120 China | China Banking and Insurance Regulatory Commission;<br>People's Bank of China (PBC)                               |
| HSBC Continental Europe, Prague Branch            | Czech Republic   | NA FLORENCI 2116/15 NOVE MESTO PRAGUE 110 00                                                  | Czech National Bank                                                                                              |
| HSBC Bank Egypt S.A.E.                            | Egypt            | 306 CORNICHE EL NIL, MAADI 11728 CAIRO EGYPT                                                  | Central Bank of Egypt                                                                                            |
| HSBC Bank Plc, Paris Branch                       | France           | 38 AVENUE KLEBER, 75116 Paris                                                                 | French Prudential Supervision and Resolution Authority (Autorite de controle prudentiel et de resolution - ACPR) |
| HSBC Continental Europe                           | France           | 38 AVENUE KLEBER PARIS 75116                                                                  | French Prudential Supervision and Resolution Authority (Autorite de controle prudentiel et de resolution - ACPR) |
| HSBC Private Bank (Luxembourg) S.A. France Branch | France           | 38 Avenue Kleber Paris France 75116                                                           | French Prudential Supervision and Resolution Authority (Autorite de controle prudentiel et de resolution - ACPR) |
| HSBC Continental Europe S.A., Germany             | Germany          | Hansaallee 3, DUESSELDORF 40549                                                               | Bundesanstalt für Finanzdienstleistungsaufsicht - Federal Financial Supervisory Authority                        |
| HSBC Bank Plc, St Peter Port Branch               | Guernsey         | Arnold House St Julian's Avenue St Peter Port<br>Guernsey GY1 3NF                             | Guernsey Financial Services Commission                                                                           |
| HSBC Private Bank (Suisse) Guernsey Branch        | Guernsey         | Arnold House, St Julian's Avenue, St Peter Port<br>Guernsey, GY1 3NF                          | Guernsey Financial Services Commission                                                                           |
| HSBC Bank Plc, Hong Kong Branch                   | Hong Kong S.A.R. | HSBC MAIN BUILDING 1 QUEEN'S ROAD CENTRAL HONG KONG                                           | Hong Kong Monetary Authority                                                                                     |
| Hang Seng Bank Limited                            | Hong Kong S.A.R. | 83 DES VOEUX ROAD CENTRAL HONG KONG                                                           | Hong Kong Monetary Authority;<br>Securities & Futures Commission of Hong-Kong                                    |

| Affiliate Name                                                          | Country          | Address                                                                                                                                                         | Regulator                                                                  |
|-------------------------------------------------------------------------|------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|
| HSBC Bank USA, National Association, Hong Kong Branch                   | Hong Kong S.A.R. | HSBC MAIN BUILDING 1 QUEEN'S ROAD CENTRAL HONG KONG S.A.R.                                                                                                      | Hong Kong Monetary Authority;                                              |
| The Hongkong and Shanghai Banking Corporation Limited                   | Hong Kong S.A.R. | HSBC MAIN BUILDING, 1 QUEEN'S ROAD CENTRAL HONG KONG                                                                                                            | Hong Kong Monetary Authority; Securities & Futures Commission of Hong-Kong |
| The Hongkong and Shanghai Banking Corporation Limited, Mumbai Branch    | India            | 52/60 MAHATMA GANDHI ROAD, MUMBAI 400001                                                                                                                        | Reserve Bank of India; Securities and Exchange Board of India              |
| The Hongkong and Shanghai Banking Corporation Limited, GIFT City Branch | India            | Unit No 202, 2nd Floor, Brigade International Finance Centre (BIFC), Block 14 A, Zone 1, GIFT SEZ, Gandhinagar, Gujarat – 382355, India                         | International Financial Services Centre Authority (IFSCA)                  |
| PT Bank HSBC Indonesia                                                  | Indonesia        | World Trade Center 3 Building, 9th Floor, Jalan Jenderal Sudirman Kaveling 29 - 31, Karet, Setiabudi, Jakarta Selatan, DKI Jakarta Province, Postal code: 12920 | Financial Services Authority of Indonesia (Otoritas Jasa Keuangan - OJK)   |
| HSBC Continental Europe, Dublin Branch                                  | Ireland          | 1 GRAND CANAL SQUARE GRAND CANAL HARBOUR DUBLIN 2                                                                                                               | Central Bank of Ireland                                                    |
| HSBC Bank Plc, Douglas Branch                                           | Isle of Man      | PO Box 421, Suite2.4 Clinch's Lord Street Isle of Man IM1 4LN                                                                                                   | Financial Supervision Commission                                           |
| HSBC Bank Plc, Tel Aviv Branch                                          | Israel           | Amot Atrium Building -30th Floor 2 Jabotinsky Street, Ramat Gan 5250501                                                                                         | Bank of Israel                                                             |
| HSBC Private Bank (Suisse), Tel Aviv Rep Office                         | Israel           | 2 Jabotinsky Street, Ramat Gan 5250501                                                                                                                          | Bank of Israel                                                             |
| HSBC Continental Europe, Milan Branch                                   | Italy            | 3 Via San Protaso, Milano, Italy 20121                                                                                                                          | Banca D'Italia - Bank of Italy                                             |
| The Hongkong and Shanghai Banking Corporation Limited, Tokyo Branch     | Japan            | HSBC BUILDING, 11-1 NIHONBASHI 3-CHOME, CHUO-KU, TOKYO 103-0027                                                                                                 | Financial Services Agency                                                  |
| HSBC Bank Plc, St Helier Branch                                         | Jersey           | HSBC House, Esplanade, ST. HELIER JE1 1HS Jersey                                                                                                                | Jersey Financial Services Commission                                       |

| Affiliate Name                                                       | Country    | Address                                                                                          | Regulator                                         |
|----------------------------------------------------------------------|------------|--------------------------------------------------------------------------------------------------|---------------------------------------------------|
| HSBC Bank Middle East Limited, Kuwait Branch                         | Kuwait     | AL-HAMRA TOWER, FLOOR 37, ABDULAZIZ AL SAGER STREET, BLOCK 8, BUILDING 14, SHARQ AREA KUWAIT     | Central Bank of Kuwait; Capital Markets Authority |
| HSBC Private Bank (Luxembourg) SA                                    | Luxembourg | Boulevard de Kockelscheuer 18, 1821 Luxembourg                                                   | Commission de Surveillance du Secteur Financier   |
| HSBC Bank Plc, Luxembourg Branch                                     | Luxembourg | Boulevard de Kockelscheuer 18, 1821 Luxembourg                                                   | Commission de Surveillance du Secteur Financier   |
| HSBC Continental Europe, Luxembourg Branch                           | Luxembourg | Boulevard de Kockelscheuer 18, 1821 Luxembourg                                                   | Commission de Surveillance du Secteur Financier   |
| Hang Seng Bank Limited, Macau Branch                                 | Macau      | Unit A-F, Floor 3, Circle Square, No. 61 Avenida de Almeida Ribeiro, Macau                       | Monetary Authority of Macau                       |
| The Hongkong and Shanghai Banking Corporation Limited, Macau Branch  | Macau      | 613 - 639 AVENIDA DA PRAIA GRANDE, MACAU                                                         | Monetary Authority of Macau                       |
| HSBC Bank Malaysia Berhad                                            | Malaysia   | LEVEL 2, MENARA IQ, LINGKARAN TRX, TUN RAZAK EXCHANGE, KUALA LUMPUR, WILAYAH PERSEKUTUAN, 55188  | Bank Negara Malaysia - Central Bank of Malaysia   |
| HSBC Amanah Malaysia                                                 | Malaysia   | LEVEL 30, MENARA IQ, LINGKARAN TRX, TUN RAZAK EXCHANGE, KUALA LUMPUR, WILAYAH PERSEKUTUAN, 55188 | Bank Negara Malaysia - Central Bank of Malaysia   |
| The Hongkong and Shanghai Banking Corporation Limited, Labuan Branch | Malaysia   | B1 FLOOR 11 MAIN OFFICE TOWER, FINANCIAL PARK LABUAN, JALAN MERDEKA LABUAN 87000                 | Labuan Financial Services Authority               |
| HSBC Bank Malta Plc                                                  | Malta      | 116 ARCHBISHOP STREET, VALLETTA VLT 1444                                                         | Malta Financial Services Authority                |
| HSBC Bank (Mauritius) Limited                                        | Mauritius  | 5th Floor (West Wing), Icon Ebene 1, Rue de L'Institut, Ebene, Mauritius.                        | Central Bank of Mauritius                         |

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|-------------------------------------------------------------------------|-------------|--------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| The Hongkong and Shanghai Banking Corporation Limited, Mauritius Branch | Mauritius   | 5th Floor, West Wing<br>Icon Ebene 1, Lot 441<br>Rue de L'Institut, Ebene                        | Central Bank of Mauritius                                                                                            |
| HSBC Mexico, S.A., Institucion de Banca Multiple, Grupo Financiero HSBC | Mexico      | PASEO DE LA REFORMA 347, CUAUHTEMOC, MEXICO 06500                                                | Comision Nacional Bancaria y De Valores - National Banking and Securities Commission                                 |
| HSBC Continental Europe, Amsterdam Branch                               | Netherlands | De Entree 247-249, Amsterdam, Netherlands, 1101 HG                                               | De Nederlandsche Bank;<br>Autoriteit Financiële Markten - Netherlands Authority for the Financial Markets            |
| The Hongkong and Shanghai Banking Corporation Limited, Auckland Branch  | New Zealand | HSBC Tower, Level 22, 188 Quay Street, Auckland 1010, New Zealand                                | Reserve Bank of New Zealand;<br>Financial Markets Authority                                                          |
| HSBC Bank Middle East Limited, Oman Branch                              | Oman        | Office #106, 1st Floor, HSBC Salam Square, Dawat Al Adab Street, Muscat, Oman                    | Central Bank of Oman                                                                                                 |
| The Hongkong and Shanghai Banking Corporation Limited, Taguig Branch    | Philippines | The 5th Corporate Center, 3058 Fifth Avenue West Bonifacio Global City, Taguig City, Philippines | Bangko Sentral NG Pilipinas;<br>Securities and Exchange Commission                                                   |
| HSBC Continental Europe, Warsaw Branch                                  | Poland      | RONDO ONZ 1<br>WARSZAWA 00-124                                                                   | Komisja Nadzoru Finansowego - Polish Financial Supervision Authority                                                 |
| HSBC Bank Middle East Limited, Doha Branch                              | Qatar       | Msheireb Downtown Doha<br>Zone 3, Building No.2, Street 981<br>P O Box 57<br>Doha, Qatar.        | Qatar Central Bank;<br>Qatar Financial Markets Authority                                                             |
| HSBC Bank (Singapore) Limited                                           | Singapore   | 10 Marina Boulevard, #48-01 Marina Bay Financial Centre, Singapore 018983,                       | Monetary Authority of Singapore                                                                                      |
| The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch | Singapore   | 10 MARINA BOULEVARD, #48-01 MARINA BAY FINANCIAL CENTRE,SINGAPORE 018983                         | Monetary Authority of Singapore                                                                                      |
| The Hongkong and Shanghai Banking Corporation Limited, Seoul Branch     | South Korea | HSBC Building 37, Chilpae-Ro, Jung-Gu, Seoul 04511                                               | Financial Supervisory Service                                                                                        |
| HSBC Continental Europe, Madrid Branch                                  | Spain       | TORRE PICASSO PZ PABLO RUIZ PICASSO 1, PL.32, MADRID 28020                                       | Banco de Espana - Bank of Spain;<br>Comision Nacional del Mercado de Valores - National Securities Market Commission |

| Affiliate Name                                                        | Country     | Address                                                                                                                                                        | Regulator                                                                             |
|-----------------------------------------------------------------------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| The Hongkong and Shanghai Banking Corporation Limited, Colombo Branch | Sri Lanka   | 24 SIR BARON JAYATILAKA MAWATHA, COLOMBO 1                                                                                                                     | Central Bank of Sri Lanka                                                             |
| HSBC Continental Europe, Stockholm Branch                             | Sweden      | Birger Jarlsgatan 4, Stockholm 114 34                                                                                                                          | Finansinspektionen                                                                    |
| HSBC Bank Plc, Zurich Branch                                          | Switzerland | Gartenstrasse 26 8002 Zurich                                                                                                                                   | Swiss Financial Market Supervisory Authority FINMA                                    |
| HSBC Private Bank (Suisse)                                            | Switzerland | QUAI DES BERGUES 9-17, GENEVA 1201                                                                                                                             | Swiss Financial Market Supervisory Authority FINMA                                    |
| HSBC Private Bank (Suisse), Zurich Branch                             | Switzerland | 26 Gartenstrasse, Zurich Switzerland 8002                                                                                                                      | Swiss Financial Market Supervisory Authority FINMA                                    |
| HSBC Bank (Taiwan) Limited                                            | Taiwan      | 54F No.7 Sec. 5, Xinyi Road, Xinyi district, Taipei , Taiwan, 110                                                                                              | Financial Supervisory Commission                                                      |
| Hang Seng Bank Limited, Taiwan                                        | Taiwan      | Room H, 6F Hung Tai Center. 168 Tun Hua North Road, Taipei                                                                                                     | Financial Supervisory Commission                                                      |
| The Hongkong and Shanghai Banking Corporation Limited, Taipei Branch  | Taiwan      | 54F No.7 Sec. 5, Xinyi Road, Xinyi district, Taipei , Taiwan, 110                                                                                              | Financial Supervisory Commission; Central Bank of the Republic of China (Taiwan)      |
| The Hongkong and Shanghai Banking Corporation Limited, Bangkok Branch | Thailand    | HSBC BUILDING, 968 RAMA IV ROAD SILOM, BANGRAK, BANGKOK 10500                                                                                                  | Bank of Thailand; Securities and Exchange Commission                                  |
| HSBC Bank Anonim Sirketi                                              | Turkey      | Esentepe Mah. Buyukdere Cad. No:128, Sisli, ISTANBUL 34394                                                                                                     | Bankacilik Diizenleme ve Denetleme Kurumu - Banking Regulation and Supervision Agency |
| HSBC Private Bank (Suisse), DIFC Branch                               | UAE         | Unit Precinct 2-4th Floor - Unit 401, Level4, Gate District Precinct Building 02 PO Box 506553 Dubai International Financial Centre Dubai United Arab Emirates | Dubai Financial Services Authority                                                    |
| HSBC Bank Middle East Limited                                         | UAE         | Level 4, Gate Precinct Building 2, Dubai International Financial Centre P.O. Box 30444, Dubai, United Arab Emirates                                            | Dubai Financial Services Authority                                                    |

| Affiliate Name                            | Country        | Address                                                                                              | Regulator                                                                     |
|-------------------------------------------|----------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| HSBC Bank Middle East Limited, UAE Branch | UAE            | HSBC Tower,<br>Downtown Dubai,<br>P.O. Box 66, DUBAI                                                 | Central Bank of United Arab Emirates;<br>Securities and Commodities Authority |
| HSBC Innovation Bank Limited              | United Kingdom | Alphabeta, 14-18 Finsbury Square, London,<br>EC2A 1BR                                                | Financial Conduct Authority;<br>Prudential Regulation Authority               |
| HSBC UK Bank Plc                          | United Kingdom | 1 CENTENARY SQUARE,<br>BIRMINGHAM B1 1HQ                                                             | Financial Conduct Authority;<br>Prudential Regulation Authority               |
| HSBC Bank Plc                             | United Kingdom | 8 CANADA SQUARE, LONDON E145HQ                                                                       | Financial Conduct Authority;<br>Prudential Regulation Authority               |
| HSBC Bank (Vietnam) Ltd.                  | Vietnam        | Sai Gon Ward:<br>THE METROPOLITAN 235 DONG KHOI<br>STREET SAIGON WARD<br>HO CHI MINH CITY<br>Vietnam | State Bank of Vietnam                                                         |