Hong Kong Exchanges and Clearing Limited and The Stock Exchange of Hong Kong Limited take no responsibility for the contents of this document, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this document.



## **HSBC Holdings plc**

## **Overseas Regulatory Announcement**

The attached announcement has been released to the other stock exchanges on which HSBC Holdings plc is listed.

The Board of Directors of HSBC Holdings plc as at the date of this announcement comprises: Mark Tucker\*, Noel Quinn, Laura Cha<sup>†</sup>, Henri de Castries<sup>†</sup>, James Anthony Forese<sup>†</sup>, Steven Guggenheimer<sup>†</sup>, Irene Lee<sup>†</sup>, José Antonio Meade Kuribreña<sup>†</sup>, Heidi Miller<sup>†</sup>, Eileen K Murray<sup>†</sup>, David Nish<sup>†</sup>, Ewen Stevenson, Jackson Tai<sup>†</sup> and Pauline van der Meer Mohr<sup>†</sup>.

\* Non-executive Group Chairman

<sup>†</sup> Independent non-executive Director

Hong Kong Stock Code: 5



## **HSBC HOLDINGS PLC**

## 12 March 2021

#### Notification of Transactions by Persons Discharging Managerial Responsibilities ("PDMRs")

#### Annual Incentive awards (Performance Year 2017)

Deferred awards (the "Awards") of US\$0.50 ordinary shares (the "Shares") in HSBC Holdings plc (the "Company") were granted in 2018 as a part of variable pay for the performance year ended 31 December 2017.

On 11 March 2021, tranches of the Awards granted in 2018 vested and the following transactions took place in London:

#### Directors

Name	Total number of Shares vested	Number of Shares sold at £4.25384 per Share <sup>1</sup>
Noel Quinn	21,504	10,107

#### Other PDMRs

Name	Total number of Shares vested	Number of Shares sold at £4.25384 per Share <sup>1</sup>
Colin Bell	14,943	7,024
Georges Elhedery	22,303	6,812
Pam Kaur	15,631	7,347
Nuno Matos	21,154	7,847
Stephen Moss	13,660	6,421
Barry O'Byrne	7,054	3,316
lan Stuart	13,488	6,340
Peter Wong	22,809	3,422

<sup>1</sup> Includes shares sold to cover withholding tax.

The PDMRs are required to retain a number of Shares equivalent in value to those that vested under the Awards (net of tax liabilities) for 12 months from the original vesting date, with the exception of Stephen Moss and Barry O'Byrne who are subject to a 6 month retention period.

The vesting price for the Awards is based on the closing Share price on the London Stock Exchange on 8 March 2021 of £4.5550.

The following disclosures are made in accordance with the UK version of the EU Market Abuse Regulation 596/2014.

ioneer or
1

1 - Details of the person discharging managerial responsibilities / person closely associated					
Name of natu	ıral person		Colin Bell		
2 - Reason fo	or the notification				
Position/stat	us		Chief Executive, HSBC Europe	Bank plc and HSBC	
Initial notific	ation/amendmen	:	Initial Notification		
3 - Details of auction m		sion allowance ma	rket participant, auctior	a platform, auctioneer or	
Full name of	the entity		HSBC Holdings plc		
Legal Entity Identifier code     MLU0ZO3ML4LN2LL2TL39					
4 - Details of the transaction(s)					
Transactio	on(s) summary ta	ble			
Date of Transactio	Financial Instrument	Identification Code	Place of Transaction	Currency	
2021-03-1	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)	GBP – British Pound	

Nature of Transaction:		Price	Volume	Total
Acquisition under the HSBC Share Plan 2011				
		£4.56	14,943	£68,065.36
	Aggregated	£4.555	14,943	£68,065.36

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stor Exchange, I Market (XLC	Main	GBP – British Pound
Nature of Trai	nsaction:		Price	Volume	Total
Disposal					
			£4.25	7,024	£29,878.97
		Aggregated	£4.254	7,024	£29,878.97

## 1 - Details of the person discharging managerial responsibilities / person closely associated

## Name of natural person

Georges Elhedery

2 - Reason for the notification

**Position/status** 

Co-Chief Executive, Global Banking and Markets

Initial notification/amendment

Initial Notification

# 3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor

Full name of the entity

HSBC Holdings plc

MLU0ZO3ML4LN2LL2TL39

Legal Entity Identifier code

4 - Details of the transaction(s)

Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code	Place of Tra	ansaction	Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stoo Exchange, M Market (XLC	<i>l</i> ain	GBP – British Pound
Nature of Tran	saction:		Price	Volume	Total
Acquisition under the HSBC Share Plan 2011					
			£4.56	22,303	£101,590.16
		Aggregated	£4.555	22,303	£101,590.16

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stor Exchange, M Market (XLC	Main	GBP – British Pound
Nature of Trar	saction:		Price	Volume	Total
Disposal					
			£4.25	6,812	£28,977.16
		Aggregated	£4.254	6,812	£28,977.16

1 - Details of the person discharging managerial responsibilities / person closely associated					
Name of natural person	Pam Kaur				
2 - Reason for the notification					
Position/status Initial notification/amendment	Group Chief Risk Officer Initial Notification				
3 - Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor					

Full name of the entity

## HSBC Holdings plc

Legal Entity Identifier code

## MLU0ZO3ML4LN2LL2TL39

4 - Details of the transaction(s)

## Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code	Place of Tra	ansaction	Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stoo Exchange, M Market (XLC	<i>l</i> lain	GBP – British Pound
Nature of Transaction: Acquisition under the HSBC			Price	Volume	Total
Share Plan 201	1	Aggregated	£4.56 <b>£4.555</b>	15,631 <b>15,631</b>	£71,199.20 £71,199.20

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Sto Exchange, I Market (XL0	Main	GBP – British Pound
Nature of Trar	nsaction:		Price	Volume	Total
Disposal					
-			£4.25	7,347	£31,252.96
		Aggregated	£4.254	7,347	£31,252.96

1 - Details of the person discharging manageria	I responsibilities / person closely associated
Name of natural person	Nuno Matos
2 - Reason for the notification	
Position/status	Chief Executive, Wealth and Personal Banking
Initial notification/amendment	Initial Notification
2. Detaile of the incurs, emission ellowerse me	what wanticipant anotion whatform anotion or
auction monitor	rket participant, auction platform, auctioneer or
Full name of the entity	HSBC Holdings plc
Legal Entity Identifier code	MLU0ZO3ML4LN2LL2TL39
4 - Details of the transaction(s)	
Transaction(s) summary table	

## Transaction(s) summary table

Date of Transaction	Financial Instrument	Identification Code	Place of Tra	ansaction	Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stoo Exchange, M Market (XLC	lain	GBP – British Pound
Nature of Tran Acquisition und Share Plan 201	er the HSBC		Price	Volume	Total
		Aggregated	£4.56 <b>£4.555</b>	21,154 <b>21,154</b>	£96,356.47 <b>£96,356.47</b>

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction London Stock Exchange, Main Market (XLON)		Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286			GBP – British Pound
Nature of Trar	saction:		Price	Volume	Total
Disposal			64.95	7.047	C22.270.00
		Aggregated	£4.25 £4.254	7,847 <b>7,847</b>	£33,379.88 £33,379.88

1 - Details of the person dis	charging manageria	l responsibilities / perso	on closely associated
Name of natural person		Stephen Moss	
2 - Reason for the notificati	on		
Position/status		Chief Executive, Middle Turkey	East, North Africa and
Initial notification/amendme	ent	Initial Notification	
3 - Details of the issuer, em auction monitor	ission allowance ma	rket participant, auction	n platform, auctioneer or
Full name of the entity		HSBC Holdings plc	
Legal Entity Identifier code		MLU0ZO3ML4LN2LL2T	<sup>-</sup> L39
4 - Details of the transaction	n(s)		
Transaction(s) summary	table		
Date ofFinancialTransactionInstrument	Identification t Code	Place of Transaction	Currency

2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stoo Exchange, M Market (XLC	<i>l</i> ain	GBP – British Pound
Acquisition unc Share Plan 20	ler the HSBC		Price	Volume	Total
			£4.56	13,660	£62,221.30
		Aggregated	£4.555	13,660	£62,221.30

Date of Transaction	Financial Instrument	Identification Code	Place of T	ransaction	Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		GBP – British Pound
Nature of Transaction:			Price	Volume	Total
Disposal					
			£4.25	6,421	£27,313.91
		Aggregated	£4.254	6,421	£27,313.91

1 - Details of the	person discha	arging manageria	l responsibili	ties / perso	on closely associated	k
Name of natural person			Barry O'Byrne			
2 - Reason for th	e notification					
Position/status			Chief Execu	tive, Global	Commercial Banking	
Initial notification	n/amendment		Initial Notification			
3 - Details of the auction monit	•	ion allowance ma	rket participa	ant, auctior	n platform, auctionee	r or
Full name of the	entity		HSBC Holdi	ngs plc		
Legal Entity Iden	tifier code		MLU0ZO3M	L4LN2LL2T	rL39	
4 - Details of the	transaction(s)					
Transaction(s	s) summary tal	ble				
Date of Transaction	Financial Instrument	Identification Code	Place of Tra	ansaction	Currency	
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stoo Exchange, M Market (XLC	<i>l</i> ain	GBP – British Pound	
Nature of Trar	saction:		Price	Volume	Total	

Share Plan 20	11				
			£4.56	7,054	£32,130.97
		Aggregated	£4.555	7,054	£32,130.97
Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2021-03-11	Ordinary	GB0005405286	London Stock Exchange, Main		GBP – British
	shares of				Pound
	US\$0.50		Market (XL	ON)	
	each				
Nature of Trai	nsaction:		Price	Volume	Total
Disposal					
-			£4.25	3,316	£14,105.73
		Aggregated	£4.254	3,316	£14,105.73

1	- Details of the	person discha	arging manageria	l responsibil	ities / perso	on closely associated	k
N	ame of natural	person		lan Stuart			
2	- Reason for th	e notification					
Р	osition/status			Chief Execu	itive, HSBC	UK Bank plc	
In	itial notificatio	n/amendment		Initial Notific	ation		
_							
3	<ul> <li>Details of the auction monit</li> </ul>		ion allowance ma	rket participa	ant, auctior	n platform, auctionee	er or
		01					
F	ull name of the	entity		HSBC Holdings plc			
	egal Entity Iden	tifier code		MLU0ZO3N	IL4LN2LL21	L39	
4	- Details of the	transaction(s)	)				
	Transaction(s	) summary tal	ble				
							1
	Date of Transaction	Financial Instrument	Identification Code	Place of Tra	ansaction	Currency	
	2021-03-11	Ordinary	GB0005405286	London Stor		GBP – British	
		shares of		Exchange, I		Pound	
		US\$0.50 each		Market (XLC	JN)		
	Nature of Tran			Price	Volume	Total	
	Acquisition und						
	Share Plan 201	1		04.50	40.400	004 407 04	-
			Aggrogated	£4.56 £4.555	13,488 <b>13,488</b>	£61,437.84 <b>£61,437.84</b>	
I I			Aggregated	24.000	13,400	201,437.04	

Date of Transaction	Financial Instrument	Identification Code	Place of Transaction		Currency
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Stock Exchange, Main Market (XLON)		GBP – British Pound
Nature of Transaction:			Price	Volume	Total
Disposal					
			£4.25	6,340	£26,969.35
		Aggregated	£4.254	6,340	£26,969.35

lame of natural person			Peter Wong			
Reason for th	- o notification					
Reason for th						
osition/status			Deputy Chairman and Chief Executive, The Hongkong and Shanghai Banking Corporation Limited			
nitial notification/amendment			Initial Notific	cation		
Details of the auction monit		ion allowance ma	rket particip	ant, auctior	n platform, auctioneer	
II name of the	entity		HSBC Hold	ings plc		
gal Entity Ider	ntifier code		MLU0ZO3ML4LN2LL2TL39			
Details of the	transaction(s	)				
Transaction(s	s) summary ta Financial Instrument	ble Identification Code	Place of Tr	ansaction	Currency	
2021-03-11	Ordinary shares of US\$0.50 each	GB0005405286	London Sto Exchange, Market (XL	Main	GBP – British Pound	
<b>Nature of Trar</b> Acquisitions ur HSBC Share P	nder the		Price	Volume	Total	
			£4.56	22,809	£103,895.00	
		Aggregated	£4.555	22,809	£103,895.00	
Date of Transaction	Financial Instrument	Identification Code	Place of Tr	ansaction	Currency	
	Ordinary	GB0005405286	London Sto	ck	GBP – British	
2021-03-11	Ulullary	00000400200				

Exchange, Main Market (XLON)

Pound

shares of

US\$0.50 each

Nature of Transaction:		Price	Volume	Total
Disposal				
		£4.25	3,422	£14,556.64
	Aggregated	£4.254	3,422	£14,556.64

For any queries related to this notification, please contact:

Larissa Wilson Shareholder Services 020 7991 0595