## CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).\*

\* A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

A Correspondent Account for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

**A.** The undersigned financial institution, <u>HSBC Bank Middle East Limited</u> ("Foreign Bank") hereby certifies as follows:

B. C	Correspondent A	Accounts (	Covered	by this	Certification:	Check of	ne box.
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$\overline{\checkmark}$	This Certification applies to <b>all</b> accounts established for Foreign Bank by Covered Financial Institutions.
	This Certification applies to Correspondent Accounts established by  (name of
	Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

	Foreign Bank maintains a <b>physical presence</b> in any country. That means:
	• Foreign Bank has a place of business at the following street address:
	HSBC House, Esplanade, St. Helier, Jersey, JE4 8UB, Channel Islands and places listed on attached schedule, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
	• The above address is in <b>Jersey and as listed on attached schedule</b> , where Foreign Bank is authorized to conduct banking activities.
	• Foreign Bank is subject to inspection by <b>Jersey Financial Services Commission</b> ; and <b>Central Banks and Regulatory Authorities of where Bank has a Branch</b> , the banking authority that licensed Foreign Bank to conduct banking activities.
	Foreign Bank does not have a physical presence in any country, but Foreign Bank is a <b>regulated affiliate</b> . That means:
	• Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address:N/A, where it employs one or more persons
	on a full-time basis and maintains operating records related to its banking activities.  The above address is inN/A (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
	Foreign Bank is subject to supervision byN/A, (insert Banking Authority), the same banking authority that regulates the depository institutions, credit union, or foreign bank.
	• Foreign Bank does <b>not</b> have a physical presence in a country and is <b>not</b> a regulated affiliate.
D. Indi	rect Use of Correspondent Accounts: Check box to certify.
Ø	No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Banks hereby certifies that it does <b>not</b> use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.
E. Owr	nership Information: Check box 1 or 2 below, if applicable.
	1. <b>Form FR Y-7 is on file.</b> Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.

2. Foreign Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E are checked, complete item 3 below, **if applicable**.

☑ 3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification,

**owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family\* shall be considered one **person**.

Name	Address
Immediate parent (99.95% beneficial	DE ENTRÉE
ownership):	1101 CJ AMSTERDAM
HSBC MIDDLE EAST HOLDINGS	THE NETHERLANDS
BV	
Indirect owner/ultimate parent:	8 CANADA SQUARE, LONDON E14
HSBC HOLDINGS PLC	5HQ UNITED KINGDOM

#### **F. Process Agent:** complete the following.

The following individual or entity:

HSBC Bank USA, Legal Processing is a resident of the United States at the following street address: One HSBC Center, 12th Floor, Buffalo, New York, 14203

and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

#### G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one

or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

* The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren,
first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining
the ownership interests of the same family, any voting interest of any family member shall be taken into
account.
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I, CHRISTOPHER JOHN MEADE KEIRLE, certify that I have read and understand
this Certification, that the statements made in this Certification are complete and correct,
and that I am authorized to execute this Certification on behalf of Foreign Bank.
HSBC BANK MIDDLE EAST LIMITED
[Name of Foreign Bank]
[Signature]
CHRISTOPHER TOTAL MEADE WEIDLE
CHRISTOPHER JOHN MEADE KEIRLE
[Printed Name]
[Title]
Executed on this 12th day of October 2010
Descinded and make and how
Received and reviewed by:
Name:
Title:
For:
[Name of Covered Financial Institution]

# FOREIGN BRANCH CERTIFICATION FORM HSBC BANK MIDDLE EAST LIMITED

		CK ONE BOX	ONLY			
	Physical Presence	Regulated Affiliate	Neither physical presence nor a			
Name of Branch			regulated Affiliate	Street Address (not a Post Code)	Country	Banking Authority with  Jurisdiction
HSBC Bank Middle East Limited	X			Dubai International Finance Centre, Gate District, Dubai	United Arab Emirates	Dubai Financial Services Authority
HSBC Bank Middle East Limited	X			Omeir Bin Yousuf Building, Opposite Etisalat Building, Old Airport Road, Abu Dhabi	United Arab Emirates	Central Bank of the UAE
HSBC Bank Middle East Limited	X			Al Thakhteet Roundabout, Sheikh Khalifa Street, Al Ain	United Arab Emirates	Central Bank of the UAE
HSBC Bank Middle East Limited	х			HSBC Bank Building, 312/45 Al Suq Road, Bur Dubai	United Arab Emirates	Central Bank of the UAE
HSBC Bank Middle East Limited	х			HSBC Bank Building, Baniyas Square, Deira, Dubai	United Arab Emirates	Central Bank of the UAE
HSBC Bank Middle East Limited	х			Hamad Bin Abdulla Street, Fujairah	United Arab Emirates	Central Bank of the UAE
HSBC Bank Middle East Limited	X			Jebel Ali Free Zone, Dubai	United Arab Emirates	Central Bank of the UAE
HSBC Bank Middle East Limited	X			Corniche Road, Ras Al Khaimah	United Arab Emirates	Central Bank of the UAE
HSBC Bank Middle East Limited	x			Abdul Aziz Majid Building, King Faisal Road, Sharjah	United Arab Emirates	Central Bank of the UAE
HSBC Bank Middle East Limited	х			Batelco Commercial Centre –Shop 1, Road 5 Al-Muthanna Avenue, Manama 304.	Bahrain	Central Bank of Bahrain
HSBC Bank Middle East Limited	х			Building 7, Road 7 Delmon Avenue, Adliya	Bahrain	Central Bank of Bahrain

	Physical Presence	Regulated Affiliate	Neither physical presence nor a regulated			Banking Authority with
Name of Branch			Affiliate	Street Address (not a Post Code)	Country	Jurisdiction
HSBC Bank Middle East Limited	х			Main Branch, Building 2505, Road 2832, Seef 428	Bahrain	Central Bank of Bahrain
HSBC Bank Middle East Limited	X			Building 2076, Road 4563, Sanad 745	Bahrain	Central Bank of Bahrain
* HSBC Bank Middle East Limited	х			Hijazi Bayer Building, Sweifieh, Paris Street, Amman	Jordan	Central Bank of Jordan
* HSBC Bank Middle East Limited	X			Al Dahleh Complex, Madina Munawara Street, Amman	Jordan	Central Bank of Jordan
* HSBC Bank Middle East Limited	X			Khalda, Amer Bin Malik Street, Amman	Jordan	Central Bank of Jordan
HSBC Bank Middle East Limited	х			5 <sup>th</sup> Circle, Zahran Street, Western Amman	Jordan	Central Bank of Jordan
HSBC Bank Middle East Limited	X			Khaled Bin Waleed Street, Jebel Hussein	Jordan	Central Bank of Jordan
HSBC Bank Middle East Limited	X			Abdoun Circle, Amman	Jordan	Central Bank of Jordan
HSBC Bank Middle East Limited	X			HSBC Building, Mina El Hosn, St. Georges Bay, Beirut	Lebanon	Banque Du Liban (Central Bank)
HSBC Bank Middle East Limited	х			Rbeiz Building, Abdul Aziz Street, Beirut	Lebanon	Banque Du Liban (Central Bank)
HSBC Bank Middle East Limited	Х			Iwan Building, Farid Trad Street, Beirut	Lebanon	Banque Du Liban (Central Bank)
HSBC Bank Middle East Limited	x			Ghantous Building, Dora Highway, Beirut	Lebanon	Banque Du Liban (Central Bank)

	Physical Presence	Regulated Affiliate	Neither physical presence nor a			Dealine Andrew (d)
Name of Branch			regulated Affiliate	Street Address (not a Post Code)	Country	Banking Authority with Jurisdiction
HSBC Bank Middle East Limited	x			La Vega Center, Zouk Mikayel Highway, Keserwan, Beirut	Lebanon	Banque Du Liban (Central Bank)
* HSBC Bank Middle East Limited	x			La Belle Vue Building, El Khatib Street, Asharfieh , Beirut	Lebanon	Banque Du Liban (Central Bank)
HSBC Bank Middle East Limited	X			Jafa Street, West Bank, Ramallah	Palestine	Palestine Monetary Authority (Central Bank)
HSBC Bank Middle East Limited	X			Bait al Falaj, Ruwi, Postal Code 112	Sultanate of Oman	Central Bank of Oman
HSBC Bank Middle East Limited	х			Muscat, Postal Code 113	Sultanate of Oman	Central Bank of Oman
HSBC Bank Middle East Limited	X			Qurum, Muscat, Postal Code 113	Sultanate of Oman	Central Bank of Oman
HSBC Bank Middle East Limited	x			Seeb Street, Postal Code 112	Sultanate of Oman	Central Bank of Oman
HSBC Bank Middle East Limited	x			Salalah Street, Postal Code 211	Sultanate of Oman	Central Bank of Oman
* HSBC Bank Middle East Limited	X			Sohar Branch, P O Box 428, Al Tareef, PC 321	Sultanate of Oman	Central Bank of Oman
HSBC Bank Middle East Limited	х			27-Umghuwalina, 310-Airport Road, Doha, P.O Box 57	Qatar	Qatar Central Bank
HSBC Bank Middle East Limited	х			38-Al Sadd, 343-Al Sadd Street Doha, P.O Box 1790	Qatar	Qatar Central Bank
HSBC Bank Middle East Limited	X			60-Dafna, Street 200-Al Corniche Street, Salam Towers, Doha. P.O Box 3842	Qatar	Qatar Central Bank
HSBC Bank Middle East Limited	X			55-Al Waab, Street 340-Salwa Road, Doha, P.O Box 22174	Qatar	Qatar Central Bank

	Physical Presence	Regulated Affiliate	Neither physical presence			
Name of Branch			nor a regulated Affiliate	Street Address (not a Post Code)	Country	Banking Authority with Jurisdiction
HSBC Bank Middle East Limited	X			92-Mesaieed, 314- Mesaieed Main Street, Q- Post Building P.O Box 50013	Qatar	Qatar Central Bank
HSBC Bank Middle East Limited	x			61-Dafna, Street 810, Diplomatic Area, QFC Building, Doha, P.O Box 16755	Qatar	Qatar Central Bank
* HSBC Bank Middle East Limited	x			55-Al Waab, Street 340 Salwa Road, Doha, P.O Box 20351 (Amanah)	Qatar	Qatar Central Bank
HSBC Bank Middle East Limited	х			G/1/2 Floors, Kharafi Tower, Qibla Area, Hamad Al-Saqr Street, Kuwait City, Kuwait	Kuwait	Central Bank of Kuwait
HSBC Bank Middle East Limited	x			Shaheen Commercial Complex, M.R. Kayani Road, Karachi.	Pakistan	The State Bank of Pakistan
HSBC Bank Middle East Limited	x			Building No. BC-1, Ground Floor, Al-Karam Center, Block 7, Clifton, Karachi.	Pakistan	The State Bank of Pakistan
HSBC Bank Middle East Limited	х			C-38-D, 26 Tauheed Commercial Street, Phase V, D.H.A. Karachi	Pakistan	The State Bank of Pakistan
HSBC Bank Middle East Limited	x			Building No. 3-A, Block A, Gulberg 11, Zahoor Elahi Road, Lahore	Pakistan	The State Bank of Pakistan
HSBC Bank Middle East Limited	х			Building No. 6-A, F-6 Markaz, Super Market, Islamabad	Pakistan	The State Bank of Pakistan
HSBC Bank Middle East Limited	x			Plot 366, Bank Road Saddar, Rawalpindi	Pakistan	The State Bank of Pakistan
HSBC Bank Middle East Limited	X			Plot No. 17-B, Jail Road, Faisalabad	Pakistan	The State Bank of Pakistan
HSBC Bank Middle East Limited	x			Qayyum Trade Center, Aziz Shaheed Road, Sialkot Cantt,	Pakistan	The State Bank of Pakistan

	Physical Presence	Regulated Affiliate	Neither physical			
			presence nor a			
Name of Branch			regulated Affiliate	Street Address (not a Post Code)	Country	Banking Authority with Jurisdiction
HSBC Bank Middle East Limited	х			Plot No. 12-B, S.M. Qaswar Gardezi Road, Multan	Pakistan	The State Bank of Pakistan
* HSBC Bank Middle East Limited	X			Ground Floor, Citi Tower, Plot No. 33-A, Block-6, P.E.C.H.S, Shahrah-e-Faisal, Karachi	Pakistan	The State Bank of Pakistan
* HSBC Bank Middle East Limited	X			Ground Floor, Central Commercial Market Area, Model Town, Lahore	Pakistan	The State Bank of Pakistan
* HSBC Bank Middle East Limited	X			Ground Floor, Lords Trade Centre, Plot No. 17, F-11 Markaz, Islamabad.	Pakistan	The State Bank of Pakistan
* HSBC Bank Middle East Limited	X			Algeria Business Center, Pins Maritimes, Mohammadia Algiers	Algeria	Central Bank of Algeria
* HSBC Bank Middle East Limited	X			31 B, Cité PTT, Hydra Algiers	Algeria	Central Bank of Algeria

Note: Entries above marked with a '\*' and in red font are additions compared to the 2008 Certification.

### **Closed Branches**

	Physical	Regulated	Neither			
	Presence	Affiliate	physical			
			presence			
			nor a			
			regulated			Banking Authority with
Name of Branch			Affiliate	Street Address (not a Post Code)	Country	Jurisdiction
HSBC Bank Middle East Limited	X			Building 574, Road 718, Muharraq 207	Bahrain	Central Bank Of Bahrain