CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, <u>The Hongkong and Shanghai Banking</u> <u>Corporation Limited</u> ("Foreign Bank") hereby certifies as follows:

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^{*}A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B.	Correspondent Accounts Covered by this Certification: Check one box.			
	This Certification applies to all accounts established for Foreign Bank by Covered Financial Institutions.			
	This Certification applies to Correspondent Accounts established by (name of			
	Covered Financial Institution(s)) for Foreign Bank.			
C.	Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.			
	 Foreign Bank maintains a physical presence in any country. That means: Foreign Bank has a place of business at the following street address: PLEASE SEE ATTACHED LIST, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities. The above address is in PLEASE SEE ATTACHED LIST (insert country), where Foreign Bank is authorized to conduct banking activities. Foreign Bank is subject to inspection by PLEASE SEE ATTACHED LIST (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities. 			
	 Foreign Bank does not have a physical presence in any country, but Foreign Bank is a regulated affiliate. That means: Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address:			
	Foreign Bank does not have a physical presence in a country and is not a regulated affiliated.			
D.	Indirect Use of Correspondent Accounts: Check box to certify.			
	No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.			

E.	Ownership Information: Check box 1 or 2 below, if applicable.				
	1.	Form FR Y-7 is on file. Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.			
	2.	Foreign Bank's shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).			
	If neither box 1 or 2 of Part E is checked, complete item 3 below, if applicable .				
	✓ 3.	Foreign Bank has no owner(s) except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or has the power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) person means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) voting securities or other voting interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family* shall be			

Name	Address
Direct Owner:	De entrée 242, 1101 EE, Amsterdam,
HSBC Asia Holdings BV	Netherlands.
Indirect Owner:	8 Canada Square, London E14 5HQ,
HSBC Holdings plc	United Kingdom

F. Process Agent: complete the following:

considered one person.

The following individual or entity: Office of the Secretary, HSBC Bank USA is a resident of the United States at the following street address: One HSBC Center, 24 Floor, Buffalo, NY 14203, USA, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

^{*}The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, <u>Alan Thomas</u> (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

The Hongkong and Shanghai Banking Corporation Limited [Name of Foreign Bank]

[Signature]

Alan Thomas [Printed Name]

Managing Director, Deputy Head of Global Banking, Asia-Pacific [Title]

Executed on this 27th day of April 2012

Received and reviewed by:

Date:

Country	Main Office Address	Regulator
-		Australian
Australia	580 George Street,	Prudential Regulation
Australia	Sydney NSW 2000, Australia	Authority
	Suite 306, Centre of Commerce, One Bay	Central Bank of
Bahamas	Street,	the Bahamas
	P.O. Box N-4917, Nassau, Bahamas	
		Bahrain Monetary
Bahrain (OBU)	Bahrain Building No. 2505, Road 2832,	Agency
, ,	Seef District 428, Kingdom of Bahrain	
Bangladesh	Anchor Tower, 5th Fl.,	Bangladesh Bank
	108, Bir Uttam C.R. Dutta Road,	
	Dhaka 1205, Bangladesh	
	Ţ	Ministry of
Brunei	Corner of Jalan Sultan and Jalan Pemancha,	Finance
	Bandar Seri Begawan BS8811	
	Brunei Darussalam	D 11 D 1 C
China	Unit 2115, 21/F, HSBC Building,	People's Bank of China
	Shanghai IFC, 8 Century Avenue, Pudong,	
	Shanghai, China	
		Hong Kong
Hong Kong	1 Queen's Road, Central, HK	Monetary Authority
Holig Kolig	1 Queen's Road, Central, 11K	Reserve Bank of
India	52-60 Mahatma Gandhi Road,	India
	Mumbai 400 001, India	
Indonesia	World Trade Centre, Jalan Jendral Sudiman,	Bank of Indonesia
	Kav 29-31, Jakarta 12920,	
	Indonesia	
Japan	HSBC Building, 11-1 Nihonbashi, 3-Chome,	Bank of Japan
	Chuo-ku, Tokyo 103-0027, Japan	
		Monetary
Macau	639 Avenida da Praia Grande Macau	Authority of Macau
Malaysia-Labuan	557 Tronisa da Fraia Grando Macau	Labuan Offshore
OBU	HSBC Offshore Banking Unit Labuan	Financial
	Level 11 (B1) Main Office Tower	Services Authority

	Financial Park Labuan, Jalan Merdeka		
	87000 Wilayah Persekutuan Labuan, Malaysia		
Maldives	1/F, MTCC Tower, 24 Boduthakurufaanu Magu	Maldives Monetary Authority	
	Male 20-50, Republic of Maldives		
Mauritius	Place d'Armes, Port Louis, Mauritius	Bank of Mauritius	
New Zealand	Level 9, One Queen Street,	Reserve Bank of New Zealand	
Philippines	Auckland, New Zealand The Enterprise Center, Tower 1,	Bangko Sentral ng Pilipinas	
	6766 Ayala Avenue Corner Paseo de Roxas,		
	Makati City Metro Manila, Philippines		
Singapore	21 Colleyer Quay, #10/02 & #02-01 HSBC Building,	Monetary Authority of Singapore	
	Singapore 049320	~	
South Africa	2 Exchange Square, 85 Maude Street, Sandown	South African Reserve Bank	
	Sandton 2146, Johannesburg, South Africa		
South Korea	HSBC Building, #25, Bongrae-dong, 1-ka,	Bank of Korea	
	Chung-ku, Seoul 100-161		
	Korea		
Sri Lanka	24 Sir Baron Jayatilaka Mawatha	Central Bank of Sri Lanka	
	Colombo 1, Sri Lanka	Einanaia1	
Taiwan	13-14/F, International Trade Building,	Financial Supervisory	
	Section 1, 333 Keelung Road, Taipei 110,	Commission	
	Taiwan		
Thailand	HSBC Building, 968 Rama IV Road, Silom, Bangrak,	Bank of Thailand	
	Bangkok 10500, Thailand		
UK	8 Canada Square, London E14 5HQ, UK	Financial Services Authority	
Vietnam	Pacific Place, 83B Ly Thuong Kiet,	State Bank of Vietnam	
	Hoan Kiem District, Ha Noi, Vietnam		